Version 25.2ab (Internal Controls Management)

Last Modified on 10/31/2025 4:21 pm EDT

Improvements

Internal Controls Management

- The Internal Controls Management application was standardized to remove inconsistent activities and views and report structure.
  - Configuration Details:
    - Removed views Open Issue Listing and Open Corrective Actions Listing from Issue & Actions activity.
    - Removed internal controls team role from Audit Committee Dashboard.
    - Removed internal controls team role from Management dashboard.
    - Removed internal controls team and internal controls administrator from Internal Controls activity on Reports App.
  - Created new activity "Reports" and added views and gave access to Internal Controls team role:
    - Executive Dashboard
    - Management Dashboard
    - Internal Controls Status Report
    - Audit Committee Report
    - Design Effectiveness Summary- Processes, Controls, Document Requests
    - Control Effectiveness Summary
    - Issue Summary
    - Certification Summary
    - Financial Statement Account Scoping
    - Business Unit Testing Summary
    - Risk and Control Matrices (Data Grid)
    - Risk and Control Matrices (Export)
    - User Assignment Reports

All Applications

- Application Data import files were updated to match the Risk Management Data Import file (unless otherwise stated), creating an integrated story across the GRC suite of applications.
- Created two new dashboard views to include all GRC application data, allowing users to view all GRC data in one place.

- GRC Executive Dashboard
    - GRC Management Dashboard
- Applied changes to form design across all GRC applications to ensure that all forms follow a consistent standard, creating a better user experience.
- Enabled View Latest Update on the following forms:
    - Requirement - CM - 1a - Library (New/Updated Requirement): Automatically enable the view latest updates toggle when opening this form
    - Requirement - CM - 2 - Risk Assessment
    - Requirement - CM - 1 - Library
    - Requirement Detail - Library
    - Requirement Detail - Library (New/Updated Requirement)
    - Requirement Detail - New/Updated Requirement
    - Control - GRC - 2 - Control Self-Assessment
    - Risk - RM - 5a - Assess Risk
    - Risk - RM - 5c - Assess Risk (Step by Step)
    - Internal Audit Project - 3 - Fieldwork, Reporting, Complete and Archive
    - Internal Audit Project - 2- Planning Memo
    - Process - IA - Process Overview
    - Risk - IA - Risk Overview
    - Control - IA - Testing Working Paper
    - Test - IA - Testing Working Paper
    - IC - Financial Statement Account Overview
    - IC - Financial Statement Sub Account Overview
    - Process - IC - 1 - Process Overview
    - Process - IC - 2 - Process Owner Overview
    - Sub Process - IC - Overview
    - Control - IC - 2a - Control Walkthrough and Testing
    - Request - IA & IC - 1 - Auditor
    - Policy - GRC - Overview - Policy Reviewer
    - Citation - IT Compliance - 3 - Internal Assessment
    - Control - IT Risk & Compliance - 3 - Assessment
    - Control - GRC - 1 - Library
    - Control - GRC - Report (Pallet)
    - Control - IA - Audit Client Overview
    - Control - IA - Document Requests (Pallet)
    - Control - IC - 2b - Document Requests (Pallet)
    - Control - IC - 3 - Control Owner Overview
    - Control - IC - 5 - External Auditor Overview

- Control - IT Risk & Compliance - 2 - IT Control Owner Assignment
- Issue - GRC - Overview
  - Corrective Action - GRC - Overview