Version 23.3.1ab Release Notes (Compliance Management)

Last Modified on 12/15/2023 1:57 pm EST

Improvements

- The header statement on the Requirement – CM – 1a – Library form was updated to read, "Select the History tab on the form to review the Requirements history."

- The following Legacy forms were removed from the Compliance Management app base:

    - **Requirement:**

        - (Legacy) Requirement - CM - 1 - Applicability Assessment
        - (Legacy) Requirement - CM - 4 - Remediation
        - (Legacy) Requirement - CM - 6 - Not Applicable

- **Compliance Assessment:**

    - (Legacy) Compliance Assessment - 1 - Launch Assessment
    - (Legacy) Compliance Assessment - 2 - Define Scope of Assessment
    - (Legacy) Compliance Assessment - 3 - Assessment In Progress
    - (Legacy) Compliance Assessment - 4 - Monitor Assessment

- The RCM – Compliance Management Dashboard was created to focus on organization and communication. The following areas were added:

    - Compliance Assessment Progress
    - Requirement Status % by Assessment
    - Requirements Requiring Assessment
    - Controls Requiring Assessment
    - Issue Status by Business Unit
    - Key Control Performance
    - Issues Identified in the Last Year
    - Regulator Breakdown

- Added descriptions for the fields and formulas on the following object types in the Compliance Management App:

    - **Compliance Management:**

        - Compliance Assessment
        - Compliance Framework
        - Topic
        - Sub Topic
        - Requirement
        - Requirement Detail
        - Regulator
        - Alert

- Company