

Reports Overview in Internal Audit

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Overview

Reports can be viewed by the internal audit team and administrators. However, depending on their user permissions, users in these user groups may be restricted from certain reports.

This article only contains information on the reports found in the Reports application of Internal Audit. Other reports that are attached to forms will be discussed in the articles related to those forms.

Internal Audit Management Reports

The following reports are available in the Internal Audit app:

- **Audit Committee Report:** Displays a summary of all audit projects, ratings, and findings, as well as all final reports issued in the last 90 days (i.e., the fiscal quarter).
- **Audit Plan & Status:** Displays a summary of audit plan budget, capacity, as well as status of individual audit projects.
- **Internal Audit Findings Summary:** Shows all findings from audit projects, broken down by business unit.
- **Internal Audit Corrective Actions Summary:** Summarizes all corrective actions created because of findings identified during audit projects, broken down by the business unit.
- **Auditable Entity Risk Assessment Grid:** Allows internal audit team members to manage risk assessments of all auditable entities within their audit universe.
- **Audit Universe Heat Map:** Displays an overview of the company's audit universe. It plots each auditable entity by business impact and overall risk score within a heat map.
- **Risk Management output for Internal Audit:** Displays a company-wide overview of risks assessed by the risk management team, which will help the internal audit team drive risk-based audits and audit planning.
- **Current Audit Reports:** Provides an overall summary of the audit work performed, starting with audit background, scope, objectives, and approach. Reporting summarizes issues identified during the audit and control effectiveness ratings that support an overall audit opinion/rating, as well as any corrective actions identified by the business.
- **Current Audit Project Dashboards:** Acts as a dashboard for audit teams to use during fieldwork.

- **Current Issue & Corrective Action Summaries by Audit:** Displays an overview of issues and corrective actions identified during an audit.
 - **Current Testing Summaries by Audit:** Displays an overview of the audit's testing status, broken down by control, as well as various summaries of control effectiveness.
 - **Current Risk and Control Matrices by Audit:** Allows internal audit team members to manage the processes, risks, controls, and tests within an assessment.
 - **Current Document Request Listings by Audit:** Allows audit team members to add new and manage existing document requests for each control within an audit project.
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