

Alert Owner Overview

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Members of the **Alert Owner** user group receive an alert when the **Compliance Team** assigns them to an alert and marks it as **Action Required**. Users in this group are responsible for:

- Reviewing the issues and corrective actions attached to an alert
- Assigning existing or new issues to an alert
- Marking alerts as complete.

Alert Owners have access to the **Portal** application only, unless they are assigned to additional user groups that grant them access to other Resolver applications.

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Portal ▾ Alerts

Alerts

Alerts

Regulatory Alerts providing advanced visibility into upcoming regulatory changes. Certain regulatory updates will require action from the business to prepare for the changes and ensure the proper controls are in place. Action is required on the alerts that have been assigned to you below.

Action Required

The following regulatory alerts require action.

A-2	OPC Guideline for Obtaining Meaningful Consent (PIPEDA)	Action Required
In August 13, 2019, The Office of the Superintendent of Financial Institutions (OSFI) published a letter entitled OSFI's Activities with respect to IFRS 17. The letter indicates, among other things, that the International Accounting Standards Board (IASB) is proposing to defer the effective date for the proposed d...		
A-6	Information Regarding FINTRAC's Suspicious Transactions Guidance and other upcoming regulatory updates	Action Required
On January 2019 the Financial Transactions and Reports Analysis Centre of Canada (FINTRAC) published a new Suspicious Transaction Guidance: • What is a suspicious transaction? • Reporting suspicious transactions to FINTRAC • Money Laundering (ML) and terrorist financing (TF) indicators This guidance is ...		

The Portal as it's displayed to a user in the Alert Owner user group.