

Version 3.1 (Security Risk Management)

Last Modified on 01/11/2021 6:30 pm EST

Note: The following features are not added to Security Risk Management by default. For information on adding these features to your version of the app, contact your CSM.

New Features

Assessment Creation Improvements

- Resolver has made the following improvements to streamline the assessment creation process:
 - Focus has shifted to using a single Assessment model (Security Framework Audit), and improved the creation flow for the audit administrator. The previous Location Specific Framework model (which included Location object in the assessment) has now been de-emphasized, but is available for use if needed.
 - A wizard-style, stepped approach to creating and launching the assessment has now been included, allowing a quicker and more streamlined experience.

The screenshot shows a web form for creating a new security framework audit. The form is titled "Create a New Security Framework Audit" and is in "Step 1: Define the Location and Timeframe". The form includes the following fields and controls:

- Title:** A text input field.
- Related Location:** A dropdown menu with a search icon and a "Search" label.
- Expected Start Date:** A date picker field with a calendar icon.
- Due Date:** A date picker field with a calendar icon.
- Business Unit:** A dropdown menu with a search icon and a "Search for a Business ..." label.
- Is this assessment expected to be repeated at this location routinely with the same content?:** A dropdown menu with "Yes" selected.
- Buttons:** A blue "CREATE" button and a "CANCEL" button.

- Audit administrators and managers can now monitor fieldwork directly after launch, from a dashboard view of the assessment in progress.

Status: **Fieldwork**

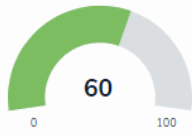
Headquarters - Riverdale Industries SFA-7

Step 4: Fieldwork and Review

The assessment is now in active Fieldwork status, and is assigned to the auditor for completion. The entire assessment can be monitored at this step, with access to progress, current results, findings and corrective actions. Once the assessment is 100% complete and finalized by the Auditor, the assessment can be finalized.

<p>Related Location</p> <p style="border: 1px solid #ccc; padding: 2px;">Headquarters - Riverdale Industries</p>	<p>Compliance Framework</p> <p style="border: 1px solid #ccc; padding: 2px;">Number of Fire Incidents</p>
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Expected Start Date	Start Date	End Date	Due Date
July 13, 2020	July 10, 2020		July 20, 2020

<p>Progress (%)</p> <div style="text-align: center;">  <p style="font-size: 24px; font-weight: bold;">60</p> </div> <p style="font-size: 8px;">0 100</p> <p style="font-size: 8px; margin-top: 5px;">Auditor's view of the assessment can be observed by clicking this button.</p> <div style="background-color: #ccc; padding: 5px; text-align: center; margin-top: 10px;">FIELDWORK DETAILS</div>	<div style="background-color: #ffff00; padding: 5px; text-align: center; font-weight: bold; font-size: 8px;">Score Range</div> <div style="text-align: center; padding: 10px;"> <p style="font-size: 36px; font-weight: bold;">83%</p> <p style="font-size: 10px;">Within Tolerance</p> </div> <p style="font-size: 8px; margin-top: 5px;">Detailed Audit Report in its draft state can be observed by clicking this button.</p> <div style="background-color: #ccc; padding: 5px; text-align: center; margin-top: 10px;">AUDIT REPORT</div>	<div style="background-color: #ffff00; padding: 5px; text-align: center; font-weight: bold; font-size: 8px;">Number of Issues</div> <div style="text-align: center; padding: 10px;"> <p style="font-size: 36px; font-weight: bold;">1</p> </div> <p style="font-size: 8px; margin-top: 5px;">Audit-related Issues can be focused on by clicking this button.</p> <div style="background-color: #ccc; padding: 5px; text-align: center; margin-top: 10px;">ISSUES REPORT</div>
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Assessment Fieldwork Improvements

- Resolver has provided the auditor with a dashboard and more options to complete the audit, in a manner that is more suitable depending on user preference. Navigation tree, data grid, or offline onto a spreadsheet options are now available.

Status: **Fieldwork**

Headquarters - Riverdale Industries SFA-7

Progress (%)

60

0 100

Remaining Requirements: 2

Number of Issues: 1

Number of Corrective Actions: 0

Completing Requirements

With requirements still outstanding, there are 3 options available to complete them. Choose an option that is best suited for the task.

Option 1

Use the full framework tree that shows all requirements (whether completed or not) but has to be expanded to work on each requirement. This is found to the left of the screen. If it is not visible, choose the button to the right.

FRAMEWORK TREE

Option 2

For quick access to the list of uncompleted requirements, with a checklist presentation for streamlined answering, choose the grid option. While this provides a simplistic listing of the requirements, relational visualization to the various topics and subtopics is lost, and only includes the name of the related topic/sub topic. Use this option for a small framework to begin with, or a known smaller set to complete. Not recommended for more than 100 requirements.

OUTSTANDING TOPIC REQUIREMENTS (GRID)

Option 3

This option is available to perform the audit offline, by exporting the remaining requirements into a spreadsheet, providing results on the spreadsheet, and then importing back in at a later time using an approved template. Ensure you have the related import template ready to go, which can be found [here](#).

OUTSTANDING REQUIREMENTS EXPORT

- Issues can now be added to the results without requiring a location to be assigned to them - this was previously required for Location-based Issue reporting.

UI Improvements

- Colored cells in all relationship and report tables have been changed to ovals.

SRM - Location Risk ☆ ↺ ⌵ ↻ 📄 📄 📄

Last Updated: Jun 29, 2020 9:26 AM

L-1 Headquarters - Riverdale Industries

Key Risks Name	Inherent Risk Score	Inherent Likelihood	Potential Incidents	Related Incidents
Health and Safety	● Significant	● Possible	10	3
Reputational	● Medium	● Remote	62	0

Continuous Assessments

- Users in the Audit Administrator user group will now have the option to make an assessment be either one-off or continuous (Continuous is default). If a completed assessment is continuous, its status will change from Complete to Scoping when the audit

administrator re-engages the assessment for the new period. Continuous assessments will also keep track of how many **business** days the current iteration has been running for.

The screenshot shows the 'Riverdale Towers' assessment interface. At the top, the status is 'Complete' in a green bar. The title 'Riverdale Towers' is on the left, and 'SFA-4' is in a grey pill on the right. Below the title is the heading 'Step 5: Complete, Monitor & Archive'. A paragraph explains that the current assessment period is now complete and an audit report is generated. At the bottom, there are two buttons: a grey 'ARCHIVE' button and a purple 'RE-ASSESS' button.

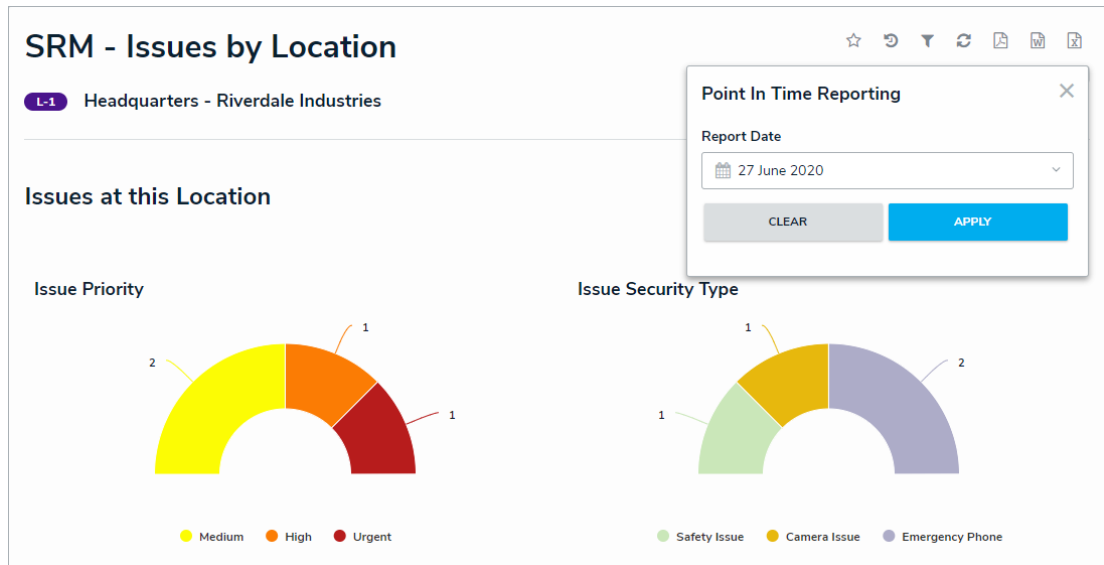
- Audit administrators can archive a continuous assessment to prevent it from resuming.
- Audit results are reset when the audit is restarted. Any Issues or Corrective Actions will be remain active until they are closed independently.

The screenshot shows the 'Riverdale Warehouse 44D' assessment interface. At the top, the status is 'Scoping' in a purple bar. The title 'Riverdale Warehouse 44D' is on the left, and 'SFA-49' is in a grey pill on the right. Below the title is the heading 'Step 3: Assign Auditor and Re-launch Assessment'. A paragraph explains that the assessment has been reset from a previous period. Below this is a sub-heading 'Assign the field auditor and launch the assessment. The current scoped assessment can be reviewed as well, prior to re-launch.' The form includes fields for 'Location' (Riverdale Warehouse 44D), 'Compliance Framework' (Hazardous Materials & Waste Management Plan), 'Auditor' (a search box with 'Start typing to find Us...'), 'Expected Start Date' (a date picker), and 'Due Date' (a date picker). At the bottom, there are two buttons: a grey 'REVIEW SCOPE' button and a grey 'BEGIN FIELD WORK' button.

Point in Time Reporting

- With the new Point-in-Time reporting feature, users can view historical information in reports in order to compare and contrast against information from previous timeframes. For instance, a security risk team can easily compare a previous year's incident heatmap with the current year to better plan future efforts. Admins can enable this feature from the Configure Filters palette when editing a report. This feature has been enabled on the following reports:
 - Location Dashboard
 - Issues by Location
 - Incident Heatmap

- Location Risk



Miscellaneous Improvements

- Resolver has made minor adjustments to correct irregularities with some of Security Risk Management's forms.
- We have made the following adjustments to user groups:
 - The Security Audit - Read Only user group has been renamed to SRM Assigned Actions (Limited User).
 - We have created a new Security Audit Fieldwork (Limited User) user group. This is used for assigning Auditors on individual assessments.
- The Administrator role has been removed from the Security Risk Management navigation.