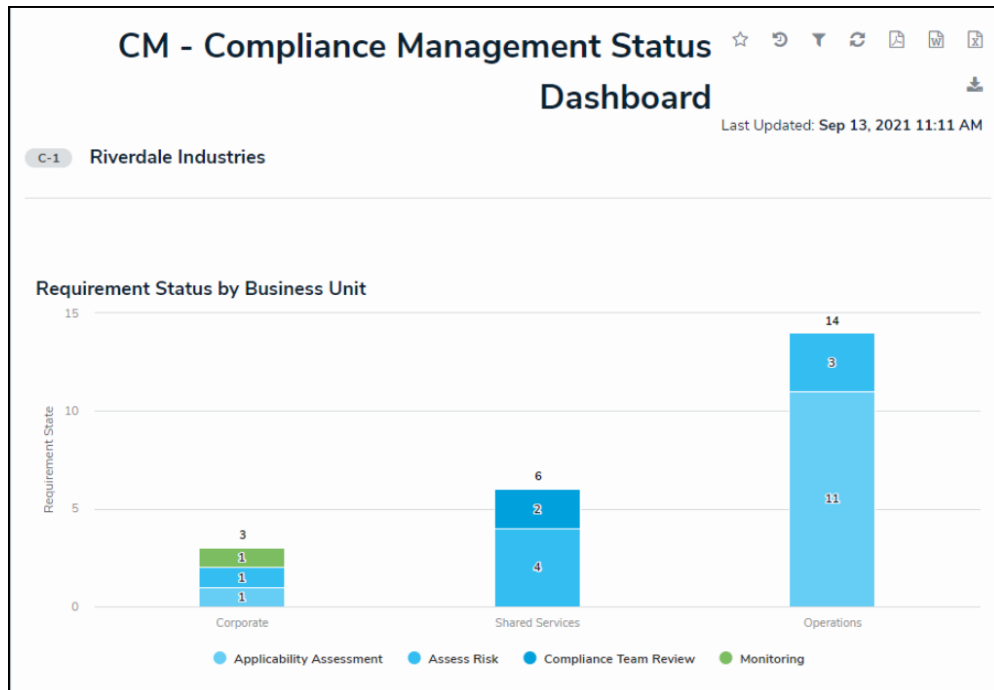


Reports Overview

Last Modified on 04/25/2024 10:29 am EDT

Overview

Compliance Management has several reports available for reviewing and analyzing data related to assessments and requirements. Administrators and users in the Compliance Team user group can view these reports.



Compliance Report Example

Compliance Report List

The following reports are available in the Compliance Management app:

- **Compliance Management Status Dashboard:** A report to provide members of the Compliance Team visibility into the status of their entire program.
- **Compliance Executive Summary:** This report shows the most critical regulatory risk areas across the business. It is focused on all critical requirements & issues.
- **Risk Overview Report:** An overview of the risk levels of each requirement associated with a regulator.
- **Status Report:** A report that provides members of the Compliance Team visibility into the compliance assessment status by business unit.
- **Issues Summary Report:** A report that provides members of the Compliance Team

visibility into business unit issues.

- **Compliance Testing Plan by Business Unit:** A report which helps the Compliance Team focus their testing efforts across their compliance program.
- **Regulator Risk Reports:** A report that shows regulatory risk exposure by applicable regulator.
- **Not Applicable Requirements:** A report which contains all requirements flagged as 'Not Applicable' within a compliance assessment.