

## Regulatory Compliance Framework Overview

RCM is an additional module that can be purchased for your Compliance Management app. RCM provides Regulatory Compliance Management frameworks that can help your organization maintain compliance with the standards and regulations that govern Canadian financial institutions. Resolver does not currently offer compliance management frameworks for other industries or jurisdictions.

For more information which frameworks are available within this module, see the [RCM Framework Subscriptions](#) article.



RCM frameworks are available only if your organization has purchased the RCM module for use with the app. If you have not purchased this module, your organization is responsible for creating and importing its frameworks. Contact [Resolver Support](#) for more information.

## Alerts

Resolver will provide alerts as early as possible once there has been public notice of a change or potential change to the regulatory requirements addressed by our content. Alerts can be triggered by legislation, regulations, and regulatory guidance. They can be accessed by the compliance team in the **Portal** application.

### Regulatory Alerts in Progress

A-1

#### OPC Guidelines for Obtaining Meaningful Consent Content Update

New Alert

The new guidelines expect organizations to follow the following principles: • Emphasize key elements • Allow individuals to control the level of detail they get and when • Provide individuals with clear options to say 'yes' or 'no' • Be innovative and creative • Consider the consumer's perspective • Make consent a dynamic and ongoing process • Be accountable: Stand ready to demonstrate compliance

A-2

#### Bill C-63 Budget Implementation Act

Remediation Required

On September 1, 2019 sections 195 to 214 of Bill C-63: Budget Implementation Act, 2017, No. 2 became effective. Bill C-63 amended the Canada Labour Code in order to, among other things: - Provide employees with a right to request flexible work arrangements from their employers; - Provide employees with a family responsibility leave for a maximum of three days, a day leave ...

A-3

#### An Act to Amend the Employment Standards Act (No. 3)

New Alert

In June 2018, Bill 116, An Act to Amend the Employment Standards Act (No. 3) (PEI) as amended, received Royal Assent. The Bill impacts the Prince Edward Island Employment Standards Act with respect to providing for a new category of leave of absence. The provisions have a coming into force date of November 1, 2019. In order to be prepared for the changes, employers must be aware of their new obligation with respect to do...

*The Regulatory Alerts in Progress section.*

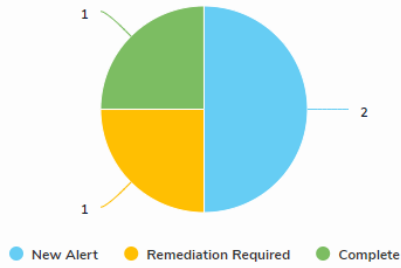
An overview of all non-archived alerts can be viewed in the **Alert Catalogue** report from the **Portal** application. Alerts will be broken down by their status and their type.

## CM - Alert Catalogue

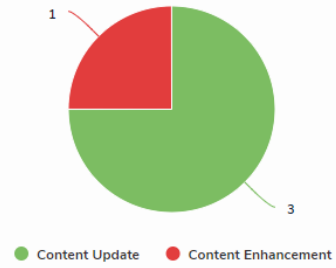
Last Updated: Feb 24, 2020 4:15 PM

AC-1 Alerts Catalogue

Alert Status



Alert Type



Alert Name	Alert Description	Alert Type	Guideline / Legislation	Link to Guideline / Legislation	Effective Date	Name of Bill	Link to Bill	Type of Reading	Date of Reading	Alert state
	In June 2018, Bill 116, An Act to Amend the Employment Standards Act (No. 3) (PEI) as amended, received Royal Assent. The Bill									

The Alerts Catalogue.

To learn more about alerts, download the [Regulatory Content Management Support fact sheet](#).