Resolver.
A KROLL BUSINESS

Perform an Applicability Assessment

Last Modified on 04/11/2025 1:38 pm EDT

Overview

Once an assessment has been scoped, Compliance Team members must review its requirements. All requirements assigned to you and your team will be available in **Compliance Management** > **Determine Applicability** > **Applicability Assessment**.

User Account Requirements

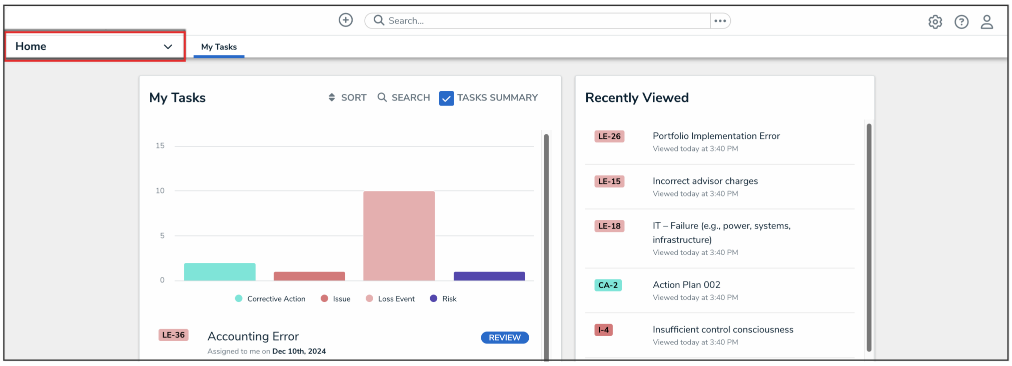
The user must be part of the Compliance Team or be a Requirement Owner & Delegate to see requirements and requirement details.

Related Information/Setup

Please refer to the Launch an Assessment article for more information on launching and reviewing assessments in Compliance Management.

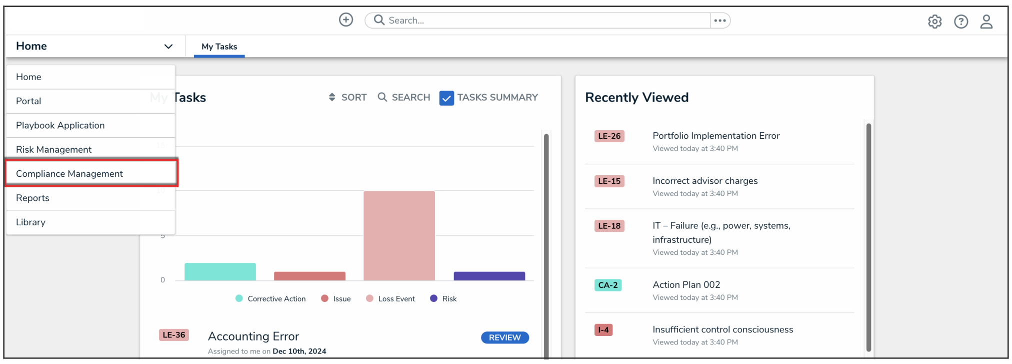
Navigation

1. From the ***Home*** screen, click the **Home** drop-down menu.



Home Drop-down Menu

2. From the list of applications, click **Compliance Management**.



Compliance Management Application

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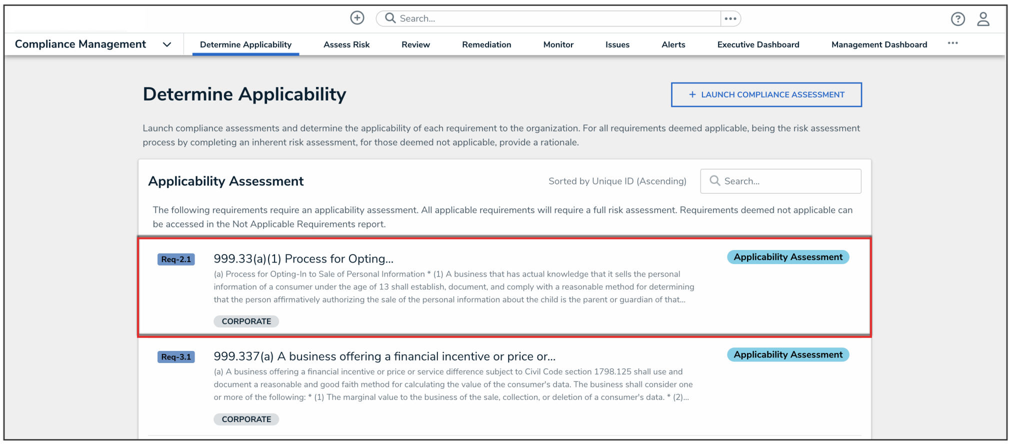
1. From the **Determine Applicability** activity, click a requirement in the **Applicability Assessment** section to display it.



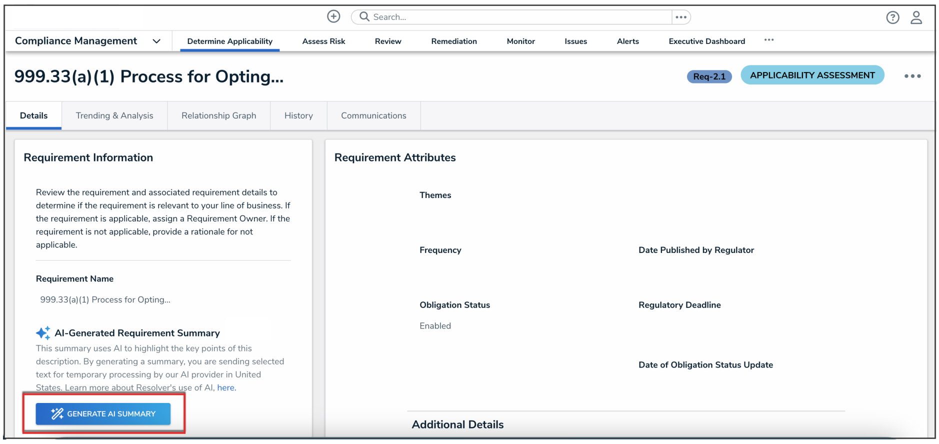
Requirement in the Applicability Assessment State

2. Review the requirement to determine if it's relevant to your line of business.
   - The **Requirement Name**, **Description**, **Subtopic**, and **Source of Requirement** fields may already be completed, depending on the content in your compliance framework.
3. Click the links within the **Requirement Details** section to review the specific areas your organization must comply with.

4. **(Optional):** In the **Requirement Information** section, you can use the AI-generated requirement summary feature to provide an AI-generated summary that highlights the key points of the requirement.

> **Note:**
> By generating a summary, you are agreeing to send selected text for temporary processing by our AI provider in the United States. Click here to learn more about Resolver's use of AI.

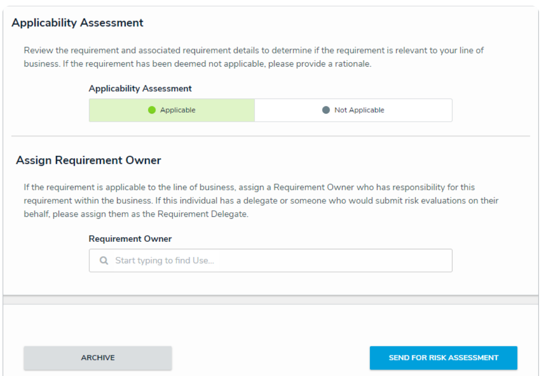
   a. Click the **Generate AI Summary** button to generate a summary.

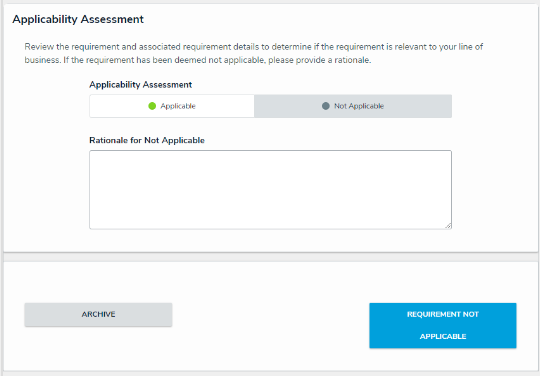


Generate AI Summary Button

> **Note:**
> The generated AI summary does not persist amongst users and cannot be edited by a user.

6. Select either **Applicable** or **Not Applicable** in the **Applicability Assessment** field.

If you selected	Then
Applicable	a. In the **Requirement Owner** and **Requirement Delegate** (if applicable) fields, start typing to display a list of available options, then select the relevant user and/or user group. b. **(Optional)**: Click **View Requirement Profile** to view this assessment's **Requirement Profile** report, which summarizes all information about the requirement as well as its attached controls and issues. c. Click **Send for Risk Assessment**. The Requirement Owner will get an email that a requirement has been assigned to them.  *An applicable requirement.*

Not Applicable	a. Enter your reason for marking this requirement **Not Applicable in** the **Rationale for Not Applicable** field. b. Click **Requirement Not Applicable**. The Requirement will move to the **Not Applicable** workflow state and can be viewed in the **Reports** application. Note: Only the Compliance Team can reassess a requirement when it's deemed inapplicable.  *Marking a requirement as Not Applicable.*